

S/N: TBA

3/16/2001

DOCKET NO.: KAW-247-USAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Toshiaki KATSUMA

Serial No.: TO BE ASSIGNED

Art Unit: TO BE ASSIGNED

Filed: March 16, 2001

Examiner: TO BE ASSIGNED

For: DIFFRACTION TYPE LENS AND OPTICAL PICKUP APPARATUS USING THE
SAME

03/16/01

jc971 U.S. PTO
09/808945
03/16/01

UTILITY PATENT APPLICATION TRANSMITTAL

IN ACCORDANCE WITH 37 CFR §1.53 (b)

Assistant Commissioner of
Patent and Trademarks
Washington, D.C. 20231

BOX: PATENT APPLICATION

Sir:

This application is a:

☒ New Application.

☐ Continuation

☐ Divisional of U.S.P.T.O. Serial Number _____, filed

☐ Continuation in Part of U.S.P.T.O. Serial Number _____,
filed _____.

The undersigned has been authorized by the Applicant(s),

Toshiaki KATSUMA

FOR: Diffraction Type Lens and Optical Pickup Apparatus Using the Same
to file the attached specification and required drawings. Please assign a
serial number and accord a filing date to this prospective application.

Enclosed are:

- 12 pages of Specification,
- 2 page(s) of Claims,
- 1 page of an Abstract, and
- 4 sheet(s) of Drawing(s). Total pages in the disclosure are therefore 19
- X Return Receipt Postcard (MPEP 503).
- X Application Data Sheet
- X Oath or Declaration with Power of Attorney
 - X Newly executed (original or copy)
 - Copy from a prior application (37 CFR §1.63(d)
- Signed Statement deleting inventor(s) named in prior application.
- Applicant claims Small Entity status under 37 CFR §1.27.
- X Assignment of the Invention and \$40.00.
- X A certified copy of Priority Document.
- A Preliminary Amendment.
- Letter to the Official Draftsperson and amended drawing(s).
- An Information Disclosure Statement (IDS)/PTO Form 1449.
- X The basic filing fee of \$710.00.

S/N: TBA

3/16/2001


DOCKET NO.: KAW-247-USAP

☒ The fees for the claims to be calculated as follows:

Claims Presented		Less Entitlement		Additional Fees			
				Small Entity		Large Entity	
Total	6	Minus	20	x \$9=	0.00	x \$18=	0.00
Indep.	1	Minus	3	x \$39=	0.00	x \$78=	0.00
New Multiple Dependent Claims		-0-		x\$130=	0.00	x\$260=	0.00
And Claims Dependent Thereon		-0-		x\$130=	0.00	x\$260=	0.00
TOTAL ADDITIONAL FEE				0.00		0.00	

☒ A check in the total amount of \$750.00 is enclosed to cover filing fee, Recordation of Assignment fee, and excess claims fee.

☒ The Commissioner is hereby authorized to charge to my Deposit Account **No. 19-2816** any fees required under any of 37 CFR §§1.16 to 1.17 at any time during the pendency of this application.


Ronald R. Snider
Attorney of Record
Registration No. 24,962

Date: March 16, 2001

Snider & Associates
Ronald R. Snider
P.O. Box 27613
Washington, D.C. 20038-7613
(202) 347-2600

RRS/bam